

## MARK WU

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EDUCATION      Ph.D., in Finance, 2014  
                    **Binghamton University**  
                    **State University of New York**, Binghamton, NY

B.S., *cum laude*, 2009  
Major: Applied Economics and Management  
**Cornell University**, Ithaca, NY

ACADEMIC  
POSITIONS      Assistant Professor of Finance, 2014–present  
                    Mario J. Gabelli School of Business  
                    Roger Williams University, Bristol, RI

Visiting Assistant Professor of Finance, 2013–2014  
Mario J. Gabelli School of Business  
Roger Williams University, Bristol, RI

RESEARCH AND      Treasury Auctions, Debt Management, Short Squeeze, Repo Markets.  
TEACHING

INTERESTS      Fixed Income Securities, , Financial Management, Corporate Finance, Financial Markets & Institutions.

PUBLICATIONS    “Pre-Auction Inventory and Bidding Behavior: Evidence from Canadian Treasury Auctions”, with Kristian Rydqvist, ***Journal of Financial Markets***, 2016, 30, 78-102.

“Bank Runs and the Accounting for Illiquid Assets in Financial Institutions”, with Anthony Meder, Steven Schwartz and Richard Young, ***Accounting Education: An International Journal***, 2014, 23(3), 277-294.

WORKING  
PAPERS AND  
RESEARCH IN  
PROGRESS      “Bidding Behavior in Discriminatory Auctions: An Analysis of Swedish Treasury Auctions”.

“Close-End Fund Discount Puzzle and Taxes”, with Shishir Paudel.

“Is There a Change in Correlation between the Chinese and the U.S. Stock Markets?”, with Taylor Provost.

“Ex-Dividend Day Stock Price Drop Trend 1993–2004”, with Shishir Paudel, Ajeet Jain and Mohammad Robbani.

“Treasury Auctions: International Insights”.

INVITED – Financial Management Association Annual Conference, Las Vegas, 2016.  
SEMINARS AND – Zhejiang University, 2016.  
CONFERENCE – Xiamen University, 2015.  
PRESENTATIONS – Roger Williams University, 2015.  
– Hofstra University, 2014.  
– Eastern Finance Association Annual Conference, Pittsburgh, 2014.\*  
– Roger Williams University, 2014.  
– Midwest Finance Association Annual Conference, Orlando, 2014.  
– Financial Management Association Annual Conference, Chicago, 2013.  
– Roger Williams University, 2013.  
– Multinational Finance Society Annual Conference, Izmir, 2013.\*  
– Binghamton University, 2012.  
– American Accounting Association Mid-Atlantic Regional Meeting, Washington D.C., 2011.\*  
– American Accounting Association Public Interest Section Annual Meeting, Denver, 2011.\*  
(Coauthor presented.\*)

PROFESSIONAL ◇ Referee Work:  
ACTIVITIES – The Financial Review.

◇ Program Committee and Finance Track Chair:  
– Northeast Decision Sciences Institute Conference.  
– First World Congress and 2016 Asia Pacific Decision Sciences Institute Conference.

◇ Discussant:  
– Financial Management Association Annual Conference, Las Vegas, 2016.  
– Midwest Finance Association Annual Conference, Orlando, 2014.  
– China International Conference in Finance, Shanghai, 2013.  
– Financial Management Association Annual Conference, Atlanta, 2012.

◇ Session Chairperson:  
– Financial Management Association Annual Conference, Atlanta, 2012.

◇ Conference Attended:

- Bloomberg Research & Educational Seminar, 2015, 2016.
- Midwest Finance Association Annual Conference, 2014.
- China International Conference in Finance, 2013.
- Bank of Canada Fixed Income Conference, Ottawa, 2013 (invited).
- NYU Stern Five-Star Conference, New York, 2012 (invited).
- Financial Management Association Annual Conference, 2010, 2011, 2012, 2013, 2014, 2016.
- New York Accounting and Finance Forum, 2009, 2010.

#### TEACHING

*Gabelli School of Business, Roger Williams University:*

- ◇ FNCE 301 Financial Management, 2013– .
- Average teaching evaluation: **4.80**/5.00.
- ◇ FNCE 401 Advanced Financial Management, 2013– .
- Average teaching evaluation: **4.73**/5.00.
- ◇ FNCE 415 Fixed Income Securities, new course developed, 2016– .
- Average teaching evaluation: **4.90**/5.00.
- ◇ FNCE 410 Honors Thesis, 2016.
- ◇ FNCE 550 Corporate Finance (MBA), new course developed.

*School of Management, Binghamton University:*

- ◇ FIN 320 Financial Markets and Institutions (two sections), Fall 2011.
- Teaching evaluation: **3.95**/4.00 and **3.85**/4.00.
- ◇ FIN 311 Financial Management, Summer 2012.
- Online class, 68 students.

#### SERVICES

◇ Department/School:

- New curriculum development: FNCE 550 Corporate Finance (MBA), 2016; FNCE 415 Fixed Income Securities, 2015; FNCE 401 Advanced Financial Management, 2013.
- Faculty co-advisor, *The Analytics Challenge- Making Sense of Big Data*, awarded two national top 10 teams, 2016.
- Faculty leader, Center for Advanced Financial Education's trip to Hong Kong, 2015.
- Gabelli School of Business Research Committee, 2014– .
- Guest speaker, International Organizational Behavior, 2014, 2016.
- Finance faculty representative, Accepted Students Day, 2014–.
- Finance faculty representative, University Open House, 2013–.
- Inviting guest speakers from industry, 2013–2016.

◇ University:

- Spiegel Center Advisory Committee, 2016–.
- Faculty Athletic Mentor, RWU Women's Soccer, 2016–.
- Honors Thesis advisor, 2016.
- Faculty co-advisor, The Analytics Club (TAC), new student organization

- created, 2015– .
- New Faculty Learning Community, 2014–2016.
- Student internship sponsorship, 2014–.
- Academic Advising, 2013–.
- ◊ External:
  - Finance track chair, Northeast Decision Sciences Institute Conference, First World Congress and 2016 Asia Pacific Decision Sciences Institute Conference, 2016.
  - Referee, The Financial Review, 2015.
  - Textbook proofreading, Brigham, Eugene and Joel Houston, *Fundamentals of Financial Management*, 14th Edition, Cengage Learning, 2015.
  - Guest speaker, Fixed Income Markets (MBA), Hofstra University, 2014.
  - Ad hoc reviewer, Asia Pacific Decision Sciences Institute Conference, 2015; Journal of the Academy of Marketing Science, 2013.
  - Co-President, Cornell Club of Rhode Island, 2016–.
  - Advisory Committee, Efficient Capital Strategies LLC, 2016–.

HONORS AND AWARDS	<ul style="list-style-type: none"> <li>– Roger Williams University Foundation Grant to Promote Scholarship, 2016.</li> <li>– Nominee of Professor of the Semester, RWU Student Senate, 2015.</li> <li>– Faculty inductee of <i>Beta Gamma Sigma International Honor Society</i>, 2015.</li> <li>– Gabelli School of Business Travel Grant, 2014.</li> <li>– The Graduate Student Award for Excellence in Teaching, Binghamton University, 2013.</li> <li>– Dean’s Honor Roll for Teaching, Binghamton University, 2012.</li> <li>– State University of New York Research Foundation Enhancement Award, 2011–2013.</li> <li>– Clifton Loomis Scholarship, Cornell University, 2008.</li> <li>– Founding Class of <i>Phi Gamma Nu Business Fraternity</i>– Cornell chapter, 2007.</li> </ul>
INDUSTRY EXPERIENCE	<p>Financial Analyst, <i>Nomura Asset Management</i>, New York, Summer 2008.</p> <p>Modeling Analyst, <i>Wall Street Training Ltd.</i>, New York, Summer 2007.</p>
PROFESSIONAL MEMBERSHIPS	American Finance Association, Eastern Finance Association, Financial Management Association, Midwest Finance Association.
MISC.	<p>Technical: Bloomberg, Excel, L<sup>A</sup>T<sub>E</sub>X, B<sub>I</sub>B<sub>T</sub>E<sub>X</sub>, SAS.</p> <p>Languages: English, Chinese, Japanese (basic).</p>

October 2016