

John H. Fellingham, CFA

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Summary:

Current PhD Finance student teaching full-time. Experienced Investment Analyst/Portfolio Manager with a wide array of capital market exposure, specifically with equity, fixed income, option and foreign exchange markets. Background includes extensive education and certification in the field of Finance. Exceptional quantitative and analytical abilities with strong written and oral communication skills. Have complemented professional background by teaching various finance and investment courses at the collegiate and graduate level.

Experience:

8/17 – Current

Roger Williams University, Gabelli School of Business**Visiting Professor of Finance**

- Currently teaching various courses at the undergraduate level in the area of finance, corporate finance, and financial planning.

1/12 – 6/17

Providence College, College of Business**Practitioner Faculty of Finance**

- Currently teaching various courses at the undergraduate and graduate level (MBA program) in the area of finance, corporate finance, investments, portfolio management and management science.
- Provide academic advising for 40 students and participate in curriculum development at the undergraduate and graduate level.
- Have incorporated practical experience with educational background to provide a thorough and dynamic presentation of curriculum
- Spearheaded the direction of the student managed investment fund class, where managed account grew to \$430,000 from \$100,000 during my 5-year tenure.

Experience:

9/10 – 5/12

Johnson & Wales University, College of Business**Adjunct Professor**

- Taught various courses in the field of corporate finance, risk management, investing and portfolio management
- Taught Series 7 Class designed to prepare students for the Series 7 exam. This 9 credit course met twice a week for a total of 7 hours and included extensive lecturing and testing.
- Strong mathematical background has been beneficial in teaching and explaining intricate subject matter as it relates to finance, investing and portfolio management.

3/08 – 7/10

**Navigator Investments, LLC,
Providence, RI Vice President**

- Portfolio Manager – provide portfolio management strategies for current and prospective clients emphasizing a covered call strategy
- Investment Analyst – responsible for the development, monitoring and reporting on all strategies and models – core equity, equity growth and income, equity covered call, mutual fund and ETF, and 401k managed mutual fund models
- Research Analyst – provide oversight, direction and reporting on all current and prospective investments incorporating fundamental, technical and attribution analysis
- Other functions performed include: fixed income trading, mutual fund analysis, and hedge fund management and accounting

5/05 – 12/07

**O'Connell Investment Services, Inc.,
Lincoln, RI Vice President**

- Portfolio Manager – responsible for providing investment advisory services for client base, which includes incorporating portfolio management, financial planning and client correspondence
- Investment Analyst - member of the investment policy committee; duties include analysis of individual companies and economic sectors to determine suitable and attractive investment options for our principal portfolio model. Other duties include attribution analysis and the development of models and methodologies to enhance portfolio returns
- Chief of Marketing – responsibilities include the development and implementation of a marketing plan to support our current client base and to cultivate new business opportunities
- Other functions performed include: fixed income trading, mutual fund analysis and reporting, and public relations

10/98 – 1/05

**The Providence Group Investment Advisory Co., Providence, RI
Portfolio Manager**

- Portfolio manager on over 100 relationships totaling \$300 million
- Some of the duties performed include: implementation of portfolio management with consideration to asset allocation, equity sector weightings, taxable consequences, risk exposure, and client communication
- Responsibilities include: quantitative and fundamental analysis of current and potential equity and bond investments, attribution analysis, research analysis of specific companies, and the development of efficient methods to facilitate trading and analysis
- Other functions performed include: Compliance reporting, business and strategic modeling, forecasting, statistical analysis, and the development of mathematical models

10/89 – 8/97

Commerzbank A.G., New York, NY

Assistant Vice President – Foreign Exchange Manager

- Responsible for short and long-term trading of currency pairs and interest-rate swap accounts for profitability, and to enhance the company's image on a global and domestic basis. Daily activity of trading was \$1-5 billion, with overall daily risk of up to \$100 million in open positions
- Developed EMS (European Monetary System) desk, which monitored bank's exposure in approximately 25 currencies; oversaw the development and education of junior traders to expand our reach and trading operation
- Important duties included customer and counterpart relations; provided an effective and efficient style catering to inter-bank and global customers, which led to most expansive area of treasury department
- Employed fundamental analysis and technical modeling in preparing currency forecasts and strategic modeling

Education:

University of Rhode Island, Kingston, RI

Finance PhD program, September 2015 –

Bryant College, Smithfield, RI

Executive Development Program for Certified Financial Planners, November 2002

Fordham University, New York, NY

M.B.A. in Finance, May 1998

State University of New York at Stony Brook, Stony Brook, NY

B.S. in Mathematics, May 1989

Licensing:

NASDAQ Series 63 and NASDAQ Series 65

Chartered Financial Analyst (CFA)

Certified Financial Planner (CFP) – currently dormant

Memberships:

The Providence Society of Financial Analysts, CFA Institute

Computer:

Proficient in Microsoft Office with a strong acumen for Microsoft Excel, Advent, Hedge Fund Accounting, Microsoft Access (programming and implementation), Bloomberg, FactSet

Classes Taught at Providence College (5.5 years)

Financial Markets and Institutions FIN 218 - 2 classes

Principles of Investments FIN 200 - 10 classes

Managerial Finance FIN 207 - 10 classes

Investments FIN 317 - 7 classes

Student Managed Investment Fund FIN 495 (SMIF) – 10 classes

Introduction to Financial Concepts MBA 532 - 4 classes

Financial Management of Corporations MBA 626 - 4 classes

Investment Analysis MBA 640 - 3 classes

Management Science MBA 690 - 6 classes

Portfolio Management MBA 645 – 1 class

Financial Seminar FIN 480 – 1 class

CFA Level 1 Class FIN 490/MBA 648 (cross listed) - 2 classes

Independent study 8 classes